



REV 9/00  
For Patent & Trademark Office

Docket No. GFB-1 DIV1

Applicant(s) : Jens Kossmann et al.

Application No. : 09/843,007 Confirmation No.: Not yet assigned

Filed : April 26, 2001

For : DNA SEQUENCE CODING FOR ENZYMES CAPABLE  
OF FACILITATING THE SYNTHESIS OF LINEAR  
 $\alpha$ -1,4 GLUCANS IN PLANTS, FUNGI AND  
MICROORGANISMS

Group Art Unit : Not yet assigned

Examiner : Not yet assigned

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JUN 28 2001

OFFICE OF PATENTS

Hon. Commissioner  
for Patents  
Washington, D.C. 20231

New York, New York  
June 19, 2001

TRANSMITTAL LETTER

Sir:

Transmitted herewith: [X] a Supplemental  
Preliminary Amendment; [X] Submission Under 37 C.F.R. §1.28;  
[ ] a Supplemental Declaration; [ ] a Power of Attorney;  
[ ] an Associate Power of Attorney; [ ] formal drawings; to be  
filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

[ ] A fee for additional claims is not required.

[X] A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	34 - 33	= 1	X \$ 18 =	\$ 18.00
INDEPENDENT CLAIMS	8 - 8	=	X \$ 80 =	\$ 0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$270 =	\$ 0.00
TOTAL				<u>\$ 18.00</u>

- [X] A check in the amount of \$ 18.00 in payment of the filing fee is transmitted herewith.
- [X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [ ] Please charge \$ \_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.


EXTENSION FEE

- [ ] The following extension is applicable to the Response filed herewith; [ ] \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [ ] \$390.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [ ] \$890.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [ ] \$1,390.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); \$1,890.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).

☐ A check in the amount of ☐ \$110.00; ☐ \$390.00;  
☐ \$890.00; ☐ \$1,390.00; ☐ \$1,890.00 in payment  
of the extension fee is transmitted herewith.

☒ The Director is hereby authorized to charge payment  
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1.17 in connection with the paper(s) transmitted  
herewith, or to credit any overpayment of same, to  
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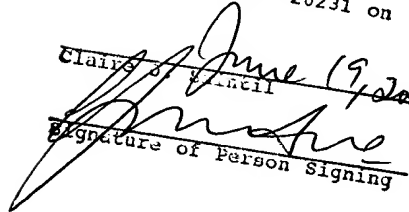
☐ Please charge the ☐ \$110.00; ☐ \$390.00;  
☐ \$890.00; ☐ \$1,390.00; ☐ \$1,890.00; extension  
fee to Deposit Account No. 06-1075. A duplicate  
copy of this transmittal letter is transmitted  
herewith.

  
James F. Haley (Reg. No. 27,794)  
Elindr K. Shin (Reg. No. 43,117)  
Attorneys for Applicants  
R. Minako Pazdera (Reg. No. 46,984)  
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WASHINGTON, D.C. 20231 on

June 19, 2001  
Claire J. Farrell

  
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DAC #2  
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GFB-1 DIV1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Group : Not yet assigned  
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New York, New York  
June 19, 2001

Honorable Commissioner for Patents  
Washington, D.C. 20231

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OFFICE OF PETITIONS

SUBMISSION UNDER 37 C.F.R. § 1.28

Sir:

This is to notify the United States Patent Office that a claim to small entity status under 37 C.F.R. §§ 1.9 and 1.27 was unintentionally made on a transmittal letter at the filing date of this application. Applicants make this submission to correct this error. On April 26, 2001, Applicants paid a filing fee of \$807.00 for this application as a small entity.

Applicants should pay a filing fee of \$1614.00 as a large entity. Thus, Applicants enclose herewith a check in the amount of \$807.00 to cover the amount owed for the deficiency in the payment for the filing fee for a large entity.

06/28/2001 GTEFFERA 00000008 09843007

01 FC:101	710.00 OP
02 FC:102	320.00 OP
03 FC:104	270.00 OP
04 FC:103	234.00 OP


Repln. Ref: 06/26/2001 GTEFFERA 0016044200  
0016044200 Name/Number: 09843007  
FC: 104 \$21.00 CR

~~Adjustment date: 06/28/2001 STEFFERA~~  
~~05/01/2001 VTRUONG 00000041 09843007~~  
~~01 FC:201 -355.00 OP~~  
~~02 FC:202 -160.00 OP~~  
~~03 FC:203 -100.00 OP~~  
~~04 FC:204 -135.00 OP~~  
Repln. Ref: 06/26/2001 STEFFERA 0016061800  
DA: 06/26/2001 Name/Number: 09843007  
FC: 704 \$10.00 CR

10/05/2001 TLW/11 00000003 09843007 16.00 OP  
01 FC:103

Should any additional fee be due in connection with this submission, the Director is authorized to charge same, or credit any overpayment, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is submitted herewith.

Respectfully submitted,



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Addressed to: ASSISTANT  
ATTORNEY FOR  
WASHINGTON, D.C. 20231 on

June 13, 2001  
Clifford A. Bennett

Signature of Person Signing